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DAC\$

August 8, 2002

Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No.: 09/819,104, Filed: March 27, 2001  
Title: *Novel Nuclear Receptor Corepressor Molecules and Uses Therefor*  
Inventor: J. Don Chen  
Attorney Docket No.: UMG-030

RECEIVED

AUG 20 2002

OFFICE OF PETITIONS

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

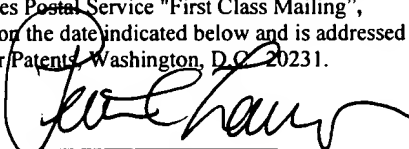
1. Petition to Revive an Abandoned Application pursuant to 37 CFR 1.137(b) (2 pages, in duplicate);
2. Copy of Notice of Abandonment dated June 16, 2002;
3. Copies of Response to Notice of Incomplete Reply and supporting documents filed April 1, 2002 including: Copy of Transmittal letter (1 page, in duplicate); Copy of Preliminary Amendment and Response to Notice of Incomplete Reply (Nonprovisional) (2 pages); Copy of Notice of Incomplete Reply (Nonprovisional) (2 pages); Copy of Transmittal Letter for Substitute Diskette Containing Sequence Listing (1 page); Copy of Label of Substitute Diskette Containing Sequence Listing; Copy of Corrected Figures 1 and 3 (4 sheets); Copy of postcard filed with the USPTO on April 1, 2002; and
4. Return postcard.

Please charge any fees or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. *A duplicate of this sheet is enclosed.*

**Certificate of First Class Mailing**

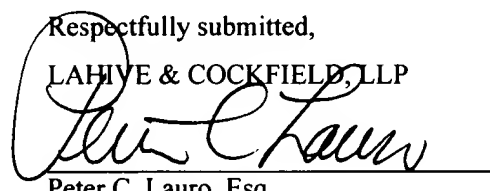
I hereby certify that the form, identified herein, is being deposited by me with the United States Postal Service "First Class Mailing", under 37 C.F.R. §1.10, on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

August 8, 2002  
Date

  
Peter C. Lauro, Esq.  
Registration No. 32, 360

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/819,104	03/27/2001	J. Don Chen	UMG-030

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109CONFIRMATION NO. 4327  
ABANDONMENT/TERMINATION  
LETTER

\*OC000000008302956\*

Date Mailed: 06/18/2002

## NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 05/14/2001.

- The reply received on 04/01/2002 was untimely.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

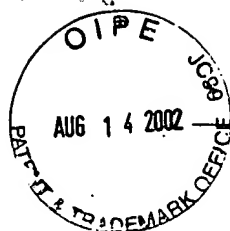
Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

A copy of this notice **MUST** be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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\*\* Admitted in TX only

April 1, 2002

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No.: 09/819,104  
Title: *NOVEL NUCLEAR RECEPTOR COREPRESSOR MOLECULES AND USES THEREFOR*  
Inventor: J. Don Chen  
Filed: March 27, 2001  
Our Reference No.: UMG-030

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

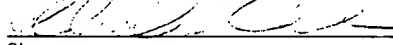
1. Preliminary Amendment and Response to Notice of Incomplete Reply (Nonprovisional) (2 pages) (*in duplicate*);
2. Copy of Notice of Incomplete Reply (Nonprovisional) (2 pages);
3. Transmittal Letter for Substitute Diskette Containing Sequence Listing (1 page);
4. Substitute Diskette Containing Sequence Listing;
5. Corrected Figures 1 and 3 (4 sheets); and
6. Return postcard.

No additional costs are believed to be due in connection with this filing. However, please charge any other necessary fees due in connection with the enclosed statement to our Deposit Order Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. For this purpose, a duplicate of this sheet is attached.

Express Mail® mailing label number EL 941 615 309 US

Date of Deposit April 1, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231

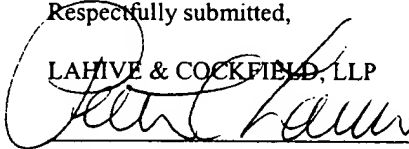
  
Signature

Ilidio P. Cardoso

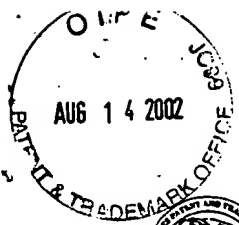
Please Print Name of Person Signing

Respectfully submitted,

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/819,104	03/27/2001	J. Don Chen	UMG-030

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

**DOCKETED**

*Substituted Draw &  
Sequence Listing Disc  
Esp*

CONFIRMATION NO. 4327

FORMALITIES LETTER



\*OC000000007626788\*

Date Mailed: 03/13/2002

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**NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)** AUG 20 2002

*Filing Date Granted*

OFFICE OF PETITIONS

The U.S. Patent and Trademark Office has received your reply on 12/14/2001 to the Notice to File Missing Parts (Notice) mailed 05/14/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

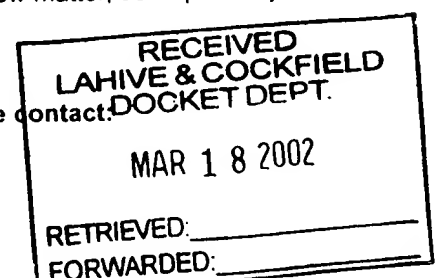
The application is informal since it does not comply with the regulations for the reason(s) indicated below

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

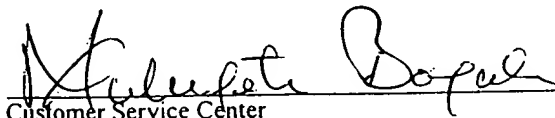
- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600



- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3hlp@uspto.gov](mailto:patin3hlp@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

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